



08/487411

PATENTS

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

John C. Harvey and James W. Cuddihy

Examiner:

Serial No. To be Accorded

Group Unit:

Filed Herewith

Atty Dkt: 5634.318

For **SIGNAL PROCESSING APPARATUS AND METHODS**#6A  
P1099r  
12-4-97PRELIMINARY AMENDMENTHonorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Prior to initiating the Examination of the above-described continuation application, Applicants herewith submit the following Preliminary Amendment.

IN THE CLAIMS

Please cancel claim 1 and add the following claim:

- 1 Sub C1 2. A method of processing signals at a remote site based on a broadcast or  
2 cablecast transmission including:  
3 (a) the step of storing a subscriber datum at a computer at said remote site, said  
4 subscriber datum being one of the group:  
5 (1) an investment datum;  
6 (2) an financial datum;

Q/c  
cont'd  
Sub B